

FORM PTO-1390  
REV. 2/01T

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

CUSTOMER NO. 22,852  
ATTORNEY'S DOCKET NUMBER

02814.0077

U.S. APPLICATION NO.  
(If known, see 37 CFR 1.55)

10/340122

INTERNATIONAL APPLICATION NO.

INTERNATIONAL FILING DATE

PRIORITY DATE CLAIMED

PCT/IB2003/006502

November 3, 2003

December 20, 2002

TITLE OF INVENTION

OLEFINIC METATHESIS IN THE PRESENCE OF PHENOLIC COMPOUNDS

APPLICANT(S) FOR DO/EO/US

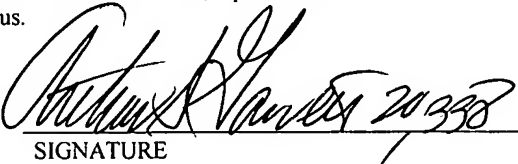
1) Grant Stephen FORMAN; 2) Robert Paul TOOZE

Applicant(s) herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2)).
  - a. ☒ is attached hereto (required only if not communicated by the International Bureau.
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed with the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154 (d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)).
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☒ Annexes (Amended Sheets) of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☒ Information Disclosure Statement under 37 CFR 1.97 and 1.98
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.
14. ☐ A SECOND or SUBSEQUENT preliminary amendment.
15. ☐ A Substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154 (d)(4).
19. ☐ A second copy of the English language translation of the international application 35 U.S.C. 154 (d)(4).
20. ☒ Other items or information:
  - a. ☒ Copy of cover page of International Publication No. **WO 2004/056728 A1**
  - b. ☒ Request for Substitution of Replacement Sheets.
  - c. ☒ Copy of European Patent Office International Search Report

U.S. APPLICATION NO. (If known, see 37CFR 1.5) <b>10/540122</b>		INTERNATIONAL APPLICATION NO. <b>PCT/IB2003/006502</b>		ATTORNEY'S DOCKET NUMBER <b>02814.0077</b>	
21. <input checked="" type="checkbox"/> The following fees are submitted:				CALCULATIONS PTO USE ONLY	
BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):				\$300.00	
National Stage Search Fee.....				\$500.00	
National Stage Examination Fee.....				\$200.00	
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$1000.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492 (e)). <input type="checkbox"/> 20 <input type="checkbox"/> 30				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	32	- 20 =	12	x \$50.00	\$ 600.00
Independent Claims	1	-3 =		x \$200.00	\$
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+\$360.00	\$ 360.00	
Sheets over 100			+\$250.00	\$	
TOTAL OF THE ABOVE CALCULATIONS =				\$1960.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$	
SUBTOTAL =				\$1960.00	
Processing fee of \$130.00 for furnishing the English translation later than months from the earliest priority date (37 CFR 1.492(f)). <input type="checkbox"/> 20 <input type="checkbox"/> 30				\$	
TOTAL NATIONAL FEE =				\$1960.00	
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property.				+	
TOTAL FEES ENCLOSED =				\$1960.00	
				Amount to be refunded:	\$
				charged:	\$
<p>a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>1960.00</u> to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>06-0916</u>. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038.</p>					
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO:					
Finnegan, Henderson, Farabow, Garrett & Dunner, L.L.P.					
901 New York Avenue, N.W.					
Washington, D.C. 20001-4413					
ASG/EFC/FPD/blc					
DATED: June 20, 2005					
				 SIGNATURE Ernest F. Chapman/Reg. No. 25,961 NAME/REGISTRATION NO.	

10/540122

PCT/IB2003/006502

Inventors: Grant Stephen FORMAN et al.

Attorney Docket No. 02814-0077  
JC17 Rec'd PCT/PTO 20 JUN 2005

**ANNEXES TO THE  
PRELIMINARY EXAMINATION REPORT  
(ARTICLE 34 AMENDMENTS)**

**MAIL STOP PCT**

**Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

Sir:

**REQUEST FOR SUBSTITUTION OF REPLACEMENT SHEETS**

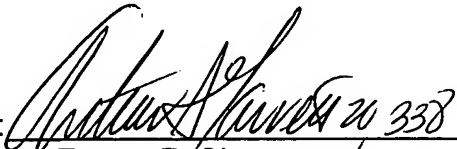
Please substitute attached (1) replacement sheet 36 of the specification containing the Article 34 Amendments for sheet 36 of the specification in the enclosed as-filed PCT application and (2) replacement sheet 38 of the claims containing the Article 34 Amendments for sheet 38 of the claims in the enclosed as-filed PCT application. It is respectfully requested that the claim in the substitute sheet be examined during examination of the patent application. Claims 1-25 are currently pending.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: June 20, 2005

By:

  
Ernest F. Chapman /  
Reg. No. 25,961

EFC/FPD/blc